Abstract

Manufacturing enterprises are increasingly becoming global and face intense pressure to improve efficiencies, enhance quality and reduce cost. This necessitated the need to embrace new technologies continuously. ‘Track and trace’ is one such technologies that can improve efficiencies across the manufacturing enterprise. Tracking is popularly used to know the exact location of a product during transport while traceability is a static approach and is usually preferred for stationary goods or products. Several track and trace technologies are now available which include Radio-frequency Identification (RFID), satellite navigation systems and barcodes that monitor the movement of goods in real time. These technologies help organizations plan and optimize their value chain in real time. This white paper presents an overview of popular track and trace technologies, use cases in the manufacturing industry and a perspective on how they will evolve in coming years.
Need for Track and Trace Technologies

A typical manufacturing enterprise consists of supplier ecosystems, manufacturing facilities and end-product distribution ecosystems. Raw materials, components, sub-systems, and finished goods flow across the manufacturing enterprise – from suppliers to manufacturing facilities and, finally, to the end-users as shown in Figure 1. These goods and items are tracked continuously through various systems such as supply chain management (SCM), enterprise resource planning (ERP), manufacturing execution systems (MES), and other information technology (IT) systems to improve overall efficiency.

However, many of these systems are not connected to operational technology (OT) assets on the manufacturing shop floor. This means that the data flow from OT systems to IT systems is done offline and manually, posing several challenges for the manufacturing enterprise such as excessive or sub-optimal inventory of raw materials as well as finished goods. Operators or machines are unable to run owing to late arrival of goods, raw materials, components, and other production inputs. As most current manufacturing organizations are global, the entire ecosystem is spread across regions, countries and continents. Products are distributed across this ecosystem based on demand-supply dynamics. Thus, there are many industry-specific nuances that need to be addressed such as ensuring the quality of perishable goods before they reach processing factories. This makes it essential to synchronize the flow of these materials or goods across the value chain in real-time to improve overall efficiency.

Time-to-market is critical in the manufacturing industry. While this does not entail faster production, it does require timely arrival of all inputs such as raw materials, components, etc. This is where the proper tracking of goods from all suppliers and inventory becomes important. Manufacturing products within stringent timelines could result in issues of non-compliance and poor quality, leading to the recall of components or even fatal accidents.

In USA, the number of recalled food products and its associated costs have doubled since 2005[1]. Instances of fatal errors from well-known brands raise the question: How can manufacturers and supply chain executives ensure the two main components of food safety, namely, prevention and timely response? While some players still use antiquated, error-prone and manual methods, others are able to effectively leverage track and trace methods to reduce cost and ensure efficiency and quality.

Once products are manufactured, they are sent into the distribution chain for transport to various locations and clients across countries. Keeping track of all these products and their servicing is a significant challenge, particularly when the product is immobile. In cases of faulty manufacturing practices or products, organizations are forced to expend significant time and money to inform affected customers and carry out repairs or replacements.

For example, in the automotive industry, there are innumerable instances of product recall due to faulty components. Without proper tracking, car manufacturers need to recall all the cars manufactured during a particular period or in a specific plant even though the actual number of defective cars could be much lesser. In the pharmaceutical supply chain, the high demand for drugs, online drug shopping and inability to trace a drug’s origins make it easy to counterfeit drugs. Unfortunately, most supply chain executives are unable to determine where, when and what quantities of products are shipped. Thus, the lack of real-time visibility during the product lifecycle can impose a high cost on manufacturing companies.

Current Technology Landscape

The advent of the Industrial Internet of Things (IIoT) is helping manufacturers overcome these challenges through track and trace technologies such as RFID, satellite navigation systems and barcodes. Tracking is popularly used to know the exact location when transporting goods while traceability is a static approach for immobile goods or products. Location-based services (LBS) can include geographical location-based solutions for outdoor applications. These
mainly use GPS or mobile IDs for services while micro location-based solutions are used for indoor applications. These track-and-trace technologies can monitor the movement of goods in real-time and help organizations improve real-time planning and optimization across the value chain.

Here are some advantages of track and trace technologies:

1. Provide exact information of goods such as location, quantity and estimated time for delivery of inventory or new orders
2. Offer visibility into customer usage information such as how often the product is used, system failures, product security, safety and servicing requirements
3. Help organizations understand exactly how many products need to be recalled for service in case of product failures
4. Improve the overall product quality during fabrication, thereby increasing customer confidence and loyalty

Designing a single solution for all supply chain track and trace problems is not easy. It requires a unique identification for various products or batches of products, which can be RFID, QR codes or any other unique number. These can also help with product (or batch) manufacturing classification. Smart devices or sensors can be used for this as explained below:

- Bar code – This is the most traditional and, probably, the most cost-effective track and trace technology. It is denoted by set of parallel lines with varying widths and heights and is used extensively in many commercial utilities such as post office parcels, shopping items in supermarkets, etc.
- Radio-frequency Identification (RFID) – RFID is a wireless track and trace method that uses electromagnetic fields to transfer data. Used as an alternative to bar codes, the main benefits include read-write operation on tag, durability, ease of use, etc. RFIDs include many types – passive, active, etc. – and are widely used in for factory inventories, cars, people, etc.
- Near Field Communication (NFC) – The protocol uses electromagnetic induction to communicate between two devices, usually smartphones. NFC-enabled devices can use applications to read tags, make payments, etc., and is quite popular for smartphones and other portable devices. From a security standpoint, it has a better and bigger role to play compared to RFID. However, these devices can only communicate across short distances (up to 4 inches).
- GPS-tracked smart device/sensors – This is a navigation system to determine the location and time of any GPS-enabled device using GPS satellites. It is an advanced track and trace technology and is used extensively for high-end commercial, telecommunication and military work. However, this technology works only if there is clear line of sight to at least 4 GPS satellites

<table>
<thead>
<tr>
<th>Features</th>
<th>Bar Code</th>
<th>RFID Tag</th>
<th>NFC</th>
<th>GPS device</th>
</tr>
</thead>
<tbody>
<tr>
<td>Line of Sight</td>
<td>Scanner must physically see and scan the bar code</td>
<td>Can only be used within the read range</td>
<td>Needs to be within the read range of about 4 inches</td>
<td>Long range is allowed</td>
</tr>
<tr>
<td>Reading work</td>
<td>Manual</td>
<td>Automatic</td>
<td>Automatic, only tapping is required</td>
<td>Automatic</td>
</tr>
<tr>
<td>Ambient lighting arrangement</td>
<td>Works well in well-lit areas</td>
<td>No ambient lighting needed</td>
<td>No ambient lighting needed</td>
<td>No ambient lighting needed</td>
</tr>
<tr>
<td>Durability</td>
<td>Is easily scratched and cannot be read if the barcode is dirty, greasy or wet</td>
<td>Has better protection and can withstand harsh environments</td>
<td>Not durable and is mainly used for smartphones</td>
<td>Can be kept inside a strong container or box</td>
</tr>
<tr>
<td>Ability to store information</td>
<td>Read-only</td>
<td>Includes a read-write tag</td>
<td>Includes a read-write tag</td>
<td>N/A</td>
</tr>
<tr>
<td>P2P communication</td>
<td>Not possible</td>
<td>Not possible</td>
<td>Possible</td>
<td>Not possible</td>
</tr>
<tr>
<td>Cost</td>
<td>Cheaper than RFID technology</td>
<td>Costlier than bar code but cheaper than GPS devices</td>
<td>Costlier than RFID tags</td>
<td>Most expensive option</td>
</tr>
<tr>
<td>Security</td>
<td>Highly secure</td>
<td>Possible security risk due to wireless technology</td>
<td>More secure than RFID tags</td>
<td>Secure</td>
</tr>
<tr>
<td>Handheld device support</td>
<td>Easy to support a bar code reader on any camera-enabled mobile</td>
<td>Extra hardware needed, which can be costly</td>
<td>NFC device can be a tag as well as a reader</td>
<td>Supported by mobile devices</td>
</tr>
<tr>
<td>Typically used in</td>
<td>Non-critical assets, preferred in the retail industry</td>
<td>Can easily handle industrial and harsh environments</td>
<td>Smartphones and for credit card payments</td>
<td>Logistics-related work, navigation and military applications</td>
</tr>
</tbody>
</table>

Table 1: Comparison of different track and trace technologies
Organizations require an accessible data store, preferably a cloud-based one, to easily store and access all relevant product information. It is also essential to have a user-friendly, data-driven and multi-device-centric application to retrieve information about any product or product batch with the option of applying any number of filters. This application should include features such as:

- Continuous asset tracking
- Workflow-based operation execution
- Data fetching based on any user-defined filters
- Data collection for different parameters and scenarios to create historical use cases
- Data analytics on real-time/fetched data
- Alarm/fault management
- Reporting services

**Industrial Use Cases**

### 3.1 Asset Service Tracking System

Asset maintenance and support is a significant challenge for manufacturing organizations. Track and trace technologies can simplify this by using information about the service status of all assets to notify organizations about upcoming service or maintenance tasks. The recommended approach is to use RFID where all asset history and information is stored at a centralized location and pre-defined algorithms generate and share information on scheduled maintenance events. Further, the service status can be updated at each stage of service or repair and can be followed up anytime and anywhere. Such an asset service tracking system will also optimize the procurement of spare parts for maintenance.

The business value of this use-case includes:

- Regulatory maintenance and compliance
- Accountability for the job performed
- Lower turnaround time
- Improved asset visibility and utilization

### 3.2 Improving Airline Operations

In the aerospace industry, airline operations depend heavily on tracking, real-time data management, data prognosis analytics, alerts for scheduled maintenance events, and real-time flight status. These functionalities can be enabled through location-based services. For instance, GPS or cloud-based sensors can provide real-time data for any flight while web and mobile-based applications can track all of the above parameters from anywhere. Some of the advantages of this approach include:

- Easy tracking of maintenance plans
- Reduced number of foreign object damage (FOD) incidents
- Quick asset search
- Optimized production process and process visibility
• Real-time asset location and positioning
• Data analytics on asset data

3.3 Improving Component Traceability In Automobile Factories

Automobile manufacturers have to trace and track their vehicles during recall situations. Without a dedicated system to locate vehicles from a particular batch, this is an expensive affair and can be a drain on valuable time and resources.

To overcome this, an automobile manufacturer has installed a 3D laser-etched bar code to track details of the entire manufacturing process of components – from the supplier, billet cutting, blasting, forging, grinding, etc. This has helped the manufacturer trace and track vehicles in case of any recall situation. This track and trace solution enables the car manufacturer to:

• Find and recall only those cars that have the faulty components
• Quickly identify affected vehicles instead of manually searching through paper-based records
• Improve visibility and automation within the supply chain

3.4 Location-Based Services

A location-based service (LBS) is an information service that is accessible through mobile devices using mobile networks. It leverages the geographical position and sensor proximity of the mobile device to transmit contextual information.

1. Geographical location-based solutions: These use mainly GPS or cell ID for services and are applicable for store locators, store invitation, resource tracking, behavior tracking, emergency tracking, etc.

2. Micro location-based solutions: This is primarily an indoor solution that is suitable for in-store applications and home automation applications. Indoor navigation, emergency evacuation, customer in-store experience enhancement, and home appliances control and security are some of the services available with this solution.

LBS enables all stakeholders in the value chain subscriber, location provider, map provider, and service provider – to collaborate and provide location-based services.

Technology Architecture

Typically, a layered IoT approach is useful for track and trace use cases where the bottom-most layer is the smart device such as RTU or SCADA. Smart devices can directly push data into the cloud. For devices with other communication protocols and interfaces, a middle gateway (adapter pattern) layer can be added that acts as a middle agent to pass data to the cloud. Once the data is in the cloud, different applications use different techniques such as service-oriented architecture (SOA) and platform dependent technology to consume the cloud services and get data. By merging other service facilities such as authorization, information management and user management, a complete IoT-based application can be developed.

Cloud computing, data analytics and data storage can also be implemented using cloud-based data with platforms such as Azure PaaS, IBM Bluemix, AWS, etc. Figures 4-6 present a typical IoT-based track and trace architecture view, functional view and data flow.

Deployment of these applications is simple and is shown in Figure 7. Responsibilities can be divided among cloud-based vendors such as Microsoft (for Azure), IBM (Bluemix), Amazon (AWS), etc. The cloud infrastructure will be provided and maintained by cloud platform providers and vendors.

In some situations, ensuring continuous internet connectivity is difficult. Here, organizations can use an active RFID tag for supply chain management. Asset data can be stored locally in a handheld device and, upon receiving network availability, the data can be passed on to the cloud. Figure 8 depicts this use case and data flow.
Future of Track and Trace Technologies

Track and trace technologies provide organizations with the flexibility to enhance inventory maintenance and product distribution. However, in the future, track and trace technologies will need to address the following considerations.

1. **Cost**: Track and trace technologies need dedicated hardware such as RFID or barcode, which can be an additional cost. Hence, the overall cost of track and trace technology-enabled products is high, making it essential to drive down their cost. Currently, bar code is the most cost-effective. However, owing to high demand, RFID is fast becoming affordable.

2. **Database management**: In addition to the hardware, the data collected from track and trace technologies needs to be stored and maintained for warranty and other purposes. This can be a sizeable overhead for organizations. To reduce this cost burden, cloud platforms such as Azure, AWS, etc., are providing cheap and better scaling options for databases and their corresponding setup.

3. **Maintenance**: While track and trace components make it easy to uncover product-related information, most of these devices operate in harsh conditions as they are integrated with the product. Since these components do not possess self-diagnostics or reporting mechanisms, there is no way of knowing when a track and trace device fails unless a physical inspection is conducted. In this situation, RFID tags are a better option compared to barcodes.

4. **Technology**: As most of the track and trace components use internet/GPS/RFID, they inherit the limitations of these systems as well. For example, it can be challenging to provide continuous internet availability for an internet-enabled product. Similarly, signal processing may be difficult when the product is deployed in harsh conditions. To overcome these challenges, several IP-enabled devices provide support for ‘store and forward’. This allows data/messages to be stored in the device and, once the connectivity is available, the data will be pushed over to the next layer, i.e., cloud.

5. **Security**: Any device connected to internet is prone to hacker attacks. Products that deal with safety are at higher risk if not provided with robust security measures. In the future, the success of track and trace technologies depends on how organizations can address the above issues. To handle concerns over security in cloud-based solutions, several cloud platform vendors are offering various security measures for industry data that include data encryption, device discoverability, authorization and authentication, secured protocols such as OAuth2, etc.

Currently, RFID and NFC technology solutions are the most popular. Going forward, track and trace technologies will adopt a hybrid approach. New technologies such as biometrics, nano-technologies, Wi-Fi/WLAN, thermal imaging, etc., will also play an important role. IoT is set to become popular for track and trace when used with NFC/GPS solutions and will offer a real-time, data-centric and secure solution.

**Conclusion**

Track and trace technologies such as RFID tags and barcodes have a much larger role to play than merely tracking raw materials before they reach the manufacturing shop floor. They need to be associated with products in the production line after assembly. Enabling this requires using hybrid IoT technologies before the production line and after the product is ready for use. For higher efficiency, organizations must strategically select one or more technologies that can be combined and used across all the phases of product manufacturing and distribution. Several companies find cloud computing to be effective for their lines of business. Cloud presents an interesting avenue for hybridization as existing cloud infrastructure can be re-used to provide efficient track and trace methodologies for products. Despite the potential for track and trace technologies to drive efficiencies in the manufacturing ecosystem, it is still a heavy cost burden for enterprises. As track and trace becomes crucial for better business operations, the related technologies and platforms are continuously evolving to make them cost-effective for easy acquisition.
About the Authors

Kumar Vikas Singh is a Senior Technology Architect with Infosys from the Advanced Engineering Group (AEG). He has 12 years of experience in the energy sector across various projects such as oil and gas data acquisition, optimization, digitization of oil fields, and asset tracking and management. Kumar holds a degree in metallurgical engineering from BIT Sindri, Dhanbad.

Dr. Ravi Kumar G.V.V. is Associate Vice President and Head of Advanced Engineering Group (AEG) at Infosys with 24 years of research and industrial experience. His areas of interest include aircraft structures, knowledge-based engineering, composites, structural health monitoring, and Industrial IoT. He has authored more than 40 technical papers and has filed a patent. He has worked on various prestigious projects related to engineering design and development as well as KBE tool development for military and commercial aircraft programs. He holds a PhD from IIT, Delhi and has worked with Tata Research Design and Development Center (TRDDC), Pune, and Aeronautical Development Agency (ADA), Bangalore, before joining Infosys.

References

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For more information, contact askus@infosys.com